

## UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

U.S. Patent and Trademark Office

South Clark Place

Customer Window, Mail Stop Patent Application

Crystal Plaza Two, Lobby, Room 1B03

Arlington, VA 22202

22386 U.S. PTO  
10/724766

Case Docket No.: HSI-0003

Transmitted herewith for filing is the patent application of

INVENTOR OR APPLICATION IDENTIFIER: Ki-Dong KIM, Sang-Dae KIM, Yoon-Soo HAN, Yoon-Heung TAK, Dong-Uk KIM, Tae-Jeong KIM, Ung-Chan YOON, Sung-Hoon KIM, and Hee-Wan MOON

FOR: PHENYL PYRIDINE-IRIDIUM METAL COMPLEX COMPOUNDS FOR ORGANIC ELECTROLUMINESCENT DEVICE, PROCESS FOR PREPARING THE COMPOUNDS, AND ORGANIC ELECTROLUMINESCENT DEVICE USING THE COMPOUNDS

Enclosed are:

1. ☒ 19 pages of specification, claims, abstract
2. ☒ 1 sheets of FORMAL drawing.
3. ☒ 3 pages of newly executed Declaration & Power of Attorney (original).
4. ☒ Priority Claimed to Korean Patent Appln. No. 2002/076208, filed December 3, 2002, whose entire disclosure is incorporated herein by reference.
5. ☐ Applicant claims Small Entity Status.
6. ☐ Information Disclosure Statement, Form PTO-1449 and reference.
7. ☒ Assignment Papers for LG Electronics Inc. (cover sheet, assignment & assignment fee).
8. ☒ Certified copy of Korean Patent Application No. 2002/076208, filed December 3, 2002.
9. ☒ Two (2) return postcards.  
☒ Stamp & Return with Courier.  
☒ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.
10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
11. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
12. ☒ Other: **PRELIMINARY AMENDMENT**

CLAIMS AS FILED					
For	No. Filed		No. Extra	Rate	Fee
Total Claims	11	- 20	0	X \$18.00	\$ 0.00
Indep. Claims	1	- 3	0	X \$86.00	\$ 0.00
Multiple Dependent Claims (If applicable)				X \$290.00	\$ 290.00
BASIC FEE					\$ 770.00
TOTAL FILING FEE					\$1060.00

- ☐ This is a Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Amend the specification by inserting before the first line the sentence:  
--This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--
- ☒ A check in the amount of \$ 1060.00 (Check # 10772) is attached.
- ☐ Please charge my Deposit Account No. 16-0607 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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Date: December 2, 2003

DYK\DRMcPhail

Please direct all correspondence to Customer Number 34610



Docket No.: HSI-0003

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :

Ki-Dong KIM, Sang-Dae KIM, Yoon-Soo HAN, :  
Yoon-Heung TAK, Dong-Uk KIM, Tae-Jeong KIM, :  
Ung-Chan YOON, Sung-Hoon KIM, and :  
Hee-Wan MOON :

Serial No.: New U.S. Patent Application :

Filed: December 2, 2003 :

Customer No.: 34610

For: PHENYL PYRIDINE-IRIDIUM METAL COMPLEX COMPOUNDS FOR  
ORGANIC ELECTROLUMINESCENT DEVICE, PROCESS FOR  
PREPARING THE COMPOUNDS, AND ORGANIC  
ELECTROLUMINESCENT DEVICE USING THE COMPOUNDS

**AUTHORIZATION TO TREAT A REPLY AS INCORPORATING  
AN EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time under 37 C.F.R. 1.136(a)(3). The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 16-0607, if such fees are not otherwise provided for in such reply. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
FLESHNER & KIM, LLP

Donald R. McPhail  
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Chantilly, Virginia 20153-1200  
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**Date: December 2, 2003**

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